

GIUSEPPE CONTI (*) - PAOLO NISTRI (**)

A Definition of Asymptotic Spectrum for Multi-Valued Maps in Banach Spaces (**).

Summary. — *The aim of this note is to look for conditions to impose on $\lambda \in \mathbf{C}$ and T in such a way that the multi-valued equation $p \in \lambda x - T(x)$, where $T: E \rightarrow E$ is a quasibounded multi-valued map, and E is a Banach space, has a solution $x \in E$ for any $p \in E$. To this aim we need a suitable definition of asymptotic spectrum for T and we obtain results, which extend to the multi-valued case the ones obtained in [3] and [4] for single-valued maps.*

Sunto. — *Data l'equazione multivoca $p \in \lambda x - T(x)$, dove $T: E \rightarrow E$ è un'applicazione multivoca quasilimitata, $\lambda \in \mathbf{C}$ ed E è uno spazio di Banach, si cercano condizioni da imporre a λ e T in modo che la suddetta equazione ammetta soluzioni per ogni $p \in E$. A tal fine si dà una conveniente definizione di spettro asintotico per applicazioni multivoche e si ottengono risultati che estendono al caso multivoco quelli ottenuti in [3] e [4] per applicazioni ad un sol valore.*

I. — Introduction.

Let $T: E \rightarrow E$ be a quasibounded multi-valued map from a complex Banach space E into itself. Consider the multi-valued equation

$$(*) \quad p \in \lambda x - T(x)$$

(*) Dipartimento di Matematica dell'Università degli Studi della Calabria, C.P. 9 - 87030 Roges (CS), Italia.

(**) Nota presentata da L. A. Rosati, membro del Comitato di Redazione, il 27-XII-1976.

Work performed under the auspices of « Gruppo Nazionale per l'Analisi Funzionale ed Applicazioni » and of « Istituto per lo sviluppo delle attività e delle ricerche scientifiche in Calabria ».

where λ is a complex number. In this note we look for conditions to impose on λ and T in such a way that the above equation has a solution $x \in E$ for any $p \in E$. To this aim we extend to the context of nonlinear multi-valued maps the concept of asymptotic spectrum for nonlinear single-valued maps introduced by M. Furi and A. Vignoli in [3]. We show that $\Sigma(T)$ —the asymptotic spectrum of T —is a compact subset of the complex plane \mathbf{C} . Further, if $T: E \rightarrow E$ is a compact, quasibounded acyclic-valued map satisfying property (A) (see below), then the equation (*) has a solution for any $p \in E$ provided that λ belongs to the unbounded component of $\mathbf{C} \setminus \Sigma(T)$. Moreover $\Sigma(T) \neq \emptyset$ if either $\dim E = +\infty$ or $\dim E$ is odd. All this results extend to the multi-valued case the ones obtained in [3], [4] for single-valued maps.

2. – Notations and definitions.

Let E and F be two complex Banach spaces and $T: E \rightarrow F$ be a multi-valued map. We recall that T is *upper-semicontinuous* (u.s.c.) on E if, for every point $x \in E$, $T(x)$ is a nonempty and compact subset of F , and for any open subset V of F containing $T(x)$ there exists an open neighborhood U of x such that $T(U) \subset V$, where $T(U) = \bigcup_{z \in U} T(z)$. An u.s.c. multi-valued map T is said to be *compact* if it sends bounded sets into relatively compact sets.

If, for any $x \in E$, $T(x)$ is acyclic in the Vietoris homology theory with coefficients in \mathbf{Q} , then T is said to be *acyclic-valued*.

Let $T: E \rightarrow F$ be an u.s.c. multi-valued map. Following Martelli and Vignoli (see [8]) we define

$$|T| = \limsup_{\|x\| \rightarrow \infty} \frac{\Phi(T(x))}{\|x\|}$$

where $\Phi(T(x)) = \sup \{\|y\| : y \in T(x)\}$. If $|T| < \infty$, then T is said to be *quasibounded* and the number $|T|$ is called the *quasinorm* of T . This definition extends the one given by Granas in [5] for the single-valued case.

By $Q(E)$ we denote the set of all the multi-valued quasibounded maps from E into itself. Note that $Q(E)$ is not a vector space, in fact $T - T \neq 0$ unless T is single-valued.

Let $B_r = \{x \in E : \|x\| \leq r\}$ be the ball of radius r centered at the origin, S_r denotes the boundary of B_r .

An u.s.c. multi-valued map $\varphi: S_1 \multimap E$ is said to be an *admissible vector field* if it satisfies the following conditions

- (i) φ is singularity free, i.e. $0 \notin \text{Im } \varphi$;
- (ii) φ is a compact vector field, that is $\varphi(x) = x - T(x)$, where $T: S_1 \multimap E$ is a compact multi-valued map;
- (iii) φ is acyclic-valued.

Two admissible vector fields φ_0 and φ_1 are said to be *homotopic* if there exists an u.s.c. multi-valued map $H: S_1 \times [0, 1] \multimap E$ with the following properties

- a) $H(\cdot, t)$ is an admissible vector field for every $t \in [0, 1]$;
- b) $H(\cdot, 0) = \varphi_0$ and $H(\cdot, 1) = \varphi_1$;
- c) $I-H$ defined by $(x, t) \multimap x - H(x, t)$ is a compact multi-valued map.

Such a map is called an *admissible homotopy* joining φ_0 and φ_1 .

We say that an u.s.c. multi-valued map $\tilde{\varphi}$ is an *admissible extension* of the admissible vector field φ if $\tilde{\varphi}$ satisfies the following conditions

- I) $\tilde{\varphi}$ is singularity free;
- II) $\tilde{\varphi}(x) = x - \tilde{T}(x)$, where $\tilde{T}: B_1 \multimap E$ is a compact multi-valued map;
- III) $\tilde{\varphi}(x)$ is compact and acyclic for every $x \in B_1$;
- IV) $\tilde{\varphi}|_{S_1} = \varphi$.

φ is called *inessential* if it admits an admissible extension and *essential* otherwise.

M. Furi and M. Martelli defined in [2] a function χ (called *characteristic*) from the set of all admissible vector fields into \mathbf{Z}_2 with the following properties

- 1) *Normalization*: $\chi(I) = 1$, where $I: S_1 \hookrightarrow E$ is the inclusion.
- 2) *Homotopy*: if φ_0 and φ_1 are homotopic then $\chi(\varphi_0) = \chi(\varphi_1)$.
- 3) *Solvability*: if $\chi(\varphi) \neq 0$ then φ is essential.
- 4) *Antipodality*: if $\varphi(x) = -\varphi(-x)$ for all $x \in S_1$ then $\chi(\varphi) = 1$.

Let A and B be bounded nonempty subsets of Banach space E . Define the *Hausdorff distance* $\delta(A, B)$ among A and B as follows

$$\delta(A, B) = \inf \{t > 0: A \subset B + t\hat{B}_1, B \subset A + t\hat{B}_1\}.$$

We recall that $\delta(A, B)$ is a semimetric in the family $B(E)$ of all nonempty bounded subsets of E , while it is a metric in the family $C(E)$ of all nonempty bounded and closed subsets of E . We give now some properties regarding the Hausdorff distance we will use below.

- (i) $\delta(tA, tB) = t\delta(A, B)$, $t \geq 0$ $A, B \in B(E)$;
- (ii) $\delta(A + B, A_1 + B_1) \leq \delta(A, A_1) + \delta(B, B_1)$,
 $A, A_1, B, B_1 \in B(E)$;
- (iii) $\delta(A + C, B + C) = \delta(A, B)$, $C \in B(E)$; $A, B \in C_0(E)$,

where $C_0(E)$ is the family of all nonempty, bounded, closed and convex subsets of E .

3. - Spectral theory for quasibounded multi-valued maps and surjectivity.

In what follows, unless otherwise stated, E will be a complex Banach space. Let us define in $Q(E)$ a semimetric as follows

$$\Delta(T, S) = \limsup_{\|x\| \rightarrow \infty} \frac{\delta(T(x), S(x))}{\|x\|} \quad \text{whatever } T, S \in Q(E).$$

By the properties of δ it is easy to see that Δ is a semimetric in $Q(E)$; it cannot be a metric since $\Delta(T, S) = 0$ does not imply $T = S$ on E .

Note that $\Delta(T, 0) = |T|$, since $\delta(T(x), 0) = \Phi(T(x))$, therefore it follows that $\Delta(T, S) < +\infty$, whatever $T, S \in Q(E)$. We say that $T, S \in Q(E)$ are *asymptotically equivalent* (notation $T \sim S$) if and only if $\Delta(T, S) = 0$.

It is easy to see that this is an equivalence relation. Consider the space $A(E)$ of all the equivalence classes of quasibounded maps. If we put $\bar{\Delta}(\{T\}, \{S\}) = \Delta(T, S)$ where $\{T\}, \{S\} \in A(E)$ and $T \in \{T\}, S \in \{S\}$, the space $(A(E), \bar{\Delta})$ is a metric space.

Let $T \in Q(E)$. Define the asymptotic spectrum of T as follows

$$\Sigma(T) = \left\{ \lambda \in \mathbf{C} : \liminf_{\|x\| \rightarrow \infty} \frac{\delta(T(x), \lambda x)}{\|x\|} = 0 \right\}$$

and we denote by $r(T)$ the spectral radius of T which is defined as follows

$$r(T) = \sup \{ |\lambda| : \lambda \in \Sigma(T) \}.$$

For the sake of simplicity we put as in [3]

$$d(\lambda - T) = \liminf_{\|x\| \rightarrow \infty} \frac{\delta(T(x), \lambda x)}{\|x\|}$$

where by $\lambda - T$ we denote the mapping $\lambda I - T$, I the identity on E .

REMARK 1. Note that $\delta(T(x), \lambda x) = \Phi(\lambda x - T(x))$, hence if T is a single-valued map then the asymptotic spectrum $\Sigma(T)$ coincides with that defined by Furi-Vignoli in [3].

We have now the following

PROPOSITION 1. *The asymptotic spectrum has the following properties*

- (i) Let $T, S \in Q(E)$. If $T \sim S$ then $\Sigma(T) = \Sigma(S)$;
- (ii) $r(T) \leq |T|$;
- (iii) $\Sigma(T)$ is compact;
- (iv) $\Sigma(\gamma T) = \gamma \Sigma(T)$, $\gamma \in \mathbf{C}$;
- (v) $\Sigma(\gamma + T) = \gamma + \Sigma(T)$, $\gamma \in \mathbf{C}$;
- (vi) $\lambda \in \Sigma(T) \Rightarrow d(T) \leq |\lambda|$.

PROOF.

(i) First assume $\lambda \in \Sigma(T)$; we have to show that $\lambda \in \Sigma(S)$. By our hypothesis we get

$$\liminf_{\|x\| \rightarrow \infty} \frac{\delta(S(x), \lambda x)}{\|x\|} \leq \limsup_{\|x\| \rightarrow \infty} \frac{\delta(S(x), T(x))}{\|x\|} + \liminf_{\|x\| \rightarrow \infty} \frac{\delta(T(x), \lambda x)}{\|x\|} = 0.$$

Hence $\lambda \in \Sigma(S)$. In the same way we can prove that if $\lambda \in \Sigma(S)$ then $\lambda \in \Sigma(T)$.

(ii) Let $\lambda \in \Sigma(T)$, we have

$$\frac{\delta(T(x), \lambda x)}{\|x\|} \geq |\lambda| - \frac{\delta(0, T(x))}{\|x\|}$$

and so $|\lambda| \leq d(\lambda - T) + |T| = |T|$.

(iii) First let us show that the map $d: Q(E) \rightarrow \mathbf{R}$ is continuous. It is enough to prove that

$$|d(T) - d(S)| \leq \Delta(T, S).$$

We have

$$\begin{aligned} d(T) = \liminf_{\|x\| \rightarrow \infty} \frac{\delta(T(x), 0)}{\|x\|} &\leq \limsup_{\|x\| \rightarrow \infty} \frac{\delta(T(x), S(x))}{\|x\|} + \\ &+ \liminf_{\|x\| \rightarrow \infty} \frac{\delta(S(x), 0)}{\|x\|} = \Delta(T, S) + d(S). \end{aligned}$$

Hence $d(T) - d(S) \leq \Delta(T, S)$. In the same way $d(S) - d(T) \leq \Delta(S, T) = \Delta(T, S)$. Therefore

$$|d(T) - d(S)| \leq \Delta(T, S).$$

Then the map $\lambda \rightarrow d(\lambda - T)$ is continuous, so $\Sigma(T)$ is closed. By (ii) $\Sigma(T)$ is bounded hence it is compact.

(iv) For $\gamma = 0$ it is obvious. For $\gamma \in \mathbf{C} \setminus \{0\}$ we have

$$d(\lambda - \gamma T) = |\gamma| d\left(\frac{\lambda}{\gamma} - T\right)$$

hence $\lambda \in \Sigma(\gamma T)$ if and only if $\lambda/\gamma \in \Sigma(T)$.

(v) We have

$$d(\lambda - (\gamma + T)) = d((\lambda - \gamma) - T)$$

hence $\lambda \in \Sigma(\gamma + T)$ if and only if $\lambda - \gamma \in \Sigma(T)$.

(vi) We have

$$\begin{aligned} d(\lambda - T) = \liminf_{\|x\| \rightarrow \infty} \frac{\delta(T(x), \lambda x)}{\|x\|} &\geq \liminf_{\|x\| \rightarrow \infty} \frac{\delta(T(x), 0)}{\|x\|} - \\ &- \limsup_{\|x\| \rightarrow \infty} \frac{\delta(\lambda x, 0)}{\|x\|} = d(T) - |\lambda|. \end{aligned}$$

If $\lambda \in \Sigma(T)$ then $d(T) \leq |\lambda|$. Q.E.D.

Let $G: E \dashrightarrow E$ be a multi-valued map. We say that G satisfies property (A) if

$$\lim_{\|x\| \rightarrow \infty} \frac{\text{diam } T(x)}{\|x\|} = 0,$$

where $\text{diam } T(x)$ denotes the diameter of the set $T(x)$.

Let $T: E \dashrightarrow E$ be a compact, quasibounded, acyclic-valued map satisfying property (A). Suppose that $d(I - T) > 0$. From the definition it follows that there exists $r_0 > 0$ such that $x \notin T(x)$ for any $x \in E$ with $\|x\| > r_0$. In fact suppose the contrary. Then there exists a sequence $\{x_n\} \subset E$ such that $x_n \in T(x_n)$ and $\|x_n\| > n$, hence in view of the property (A) we get

$$\lim_{n \rightarrow \infty} \frac{\delta(T(x_n), x_n)}{\|x_n\|} = 0$$

and so we have a contradiction.

Now we want to define for the compact vector field $\Phi(x) = x - T(x)$ such that $d(I - T) > 0$, a surjectivity degree as in [3]. We denote by Φ_r the restriction of Φ to S_r .

For $r > r_0$ we have $0 \notin \Phi_r(S_r)$.

Let us define

$$\chi(\Phi_r) = \chi(\Phi_r^1)$$

where $\Phi_r^1(y) = y - (T(ry))/r$ and $y \in S_1$.

For such a map the characteristic function χ is well defined, since from $0 \notin \Phi_r(S_r)$ it follows that $0 \notin \Phi_r^1(S_1)$ and so Φ_r^1 is an admissible vector that field. We set

$$\text{deg}(I - T) = \chi(\Phi_r) \quad \text{with } r > r_0.$$

This is a well posed definition since we have $\chi(\Phi_r) = \chi(\Phi_s)$ for any $r, s > r_0$. In fact from the definition we have

$$\chi(\Phi_r) = \chi(\Phi_r^1) \quad \text{and} \quad \chi(\Phi_s) = \chi(\Phi_s^2).$$

Assume that $s > r$. If we consider the homotopy

$$H(y, t) = y - \frac{T(t(s-r)y + ry)}{t(s-r) + r}$$

defined on $S_1 \times [0, 1]$, it easy to see that this is an admissible homotopy

joining Φ_1^r and Φ_1^s , hence $\chi(\Phi_1^r) = \chi(\Phi_1^s)$ by the homotopy property of the characteristic function χ .

Let T be as above and $\lambda \in \mathbf{C}$. We say that $\lambda - T$ is *admissible for surjectivity* (*s-admissible*) if $\lambda \notin \Sigma(T) \cup \{0\}$.

We define the *surjectivity degree* of $\lambda - T$ as follows

$$\deg(\lambda - T) = \deg(I - \lambda^{-1}T).$$

An homotopy $H: E \times [0, 1] \rightarrow E$ is said to be an *s-homotopy* if the following conditions are satisfied:

(a) $H(x, t) = \lambda(t)x - F(x, t)$ where $\lambda: [0, 1] \rightarrow \mathbf{C}$ is continuous and $F: E \times [0, 1] \rightarrow E$ is a compact, acyclic-valued map;

$$(b) \lim_{\substack{t \rightarrow t_0 \\ \|x\| \rightarrow \infty}} \frac{\delta(F(x, t), F(x, t_0))}{\|x\|} = 0;$$

(c) The map $\lambda(t) - F(\cdot, t)$ is *s-admissible* for any $t \in [0, 1]$;

(d) The map $F(x, t)$ satisfies property (A) uniformly with respect to $t \in [0, 1]$.

Two *s-admissible* maps are said to be *s-homotopic* if there exists an *s-homotopy* joining them.

We have the following

PROPOSITION 2. *The degree of surjectivity has the following properties.*

(i) *Two s-homotopic maps have the same degree of surjectivity.*

(ii) *Let $T: E \rightarrow E$ be compact, quasibounded, with acyclic values. If $\lambda_1, \lambda_2 \neq 0$ and λ_1, λ_2 belong to the same component of $\mathbf{C} \setminus \Sigma(T)$, then $\deg(\lambda_1 - T) = \deg(\lambda_2 - T)$.*

(iii) *If $\deg(\lambda - T) = 1$ then $\lambda - T$ is onto.*

(iv) *$\deg(\lambda - 0) = 1$ for all $\lambda \in \mathbf{C} \setminus \{0\}$.*

PROOF.

(i) Let $H(x, t) = \lambda(x)x - F(x, t)$ be an *s-homotopy* joining $\lambda_0 - T_0$ and $\lambda_1 - T_1$. First we prove that there exists $r_0 > 0$ such that $0 \notin \lambda(t)x - F(x, t)$ for any $x \in E$, with $\|x\| > r_0$ and for any $t \in [0, 1]$.

Assume the contrary. Then there exist two sequences $\{x_n\}, \{t_n\}$ with $\|x_n\| > n$ and

$$0 \in \lambda(t_n)x_n - F(x_n, t_n).$$

Since $\{t_n\} \subset [0, 1]$, without loss of generality we can assume that $t_n \rightarrow \bar{t} \in [0, 1]$. We have

$$\delta(\lambda(\bar{t})x_n, F(x_n, \bar{t})) \leq \delta(\lambda(\bar{t})x_n, \lambda(t_n)x_n) + \\ + \delta(\lambda(t_n)x_n, F(x_n, t_n)) + \delta(F(x_n, t_n), F(x_n, \bar{t})).$$

Hence

$$d(\lambda(\bar{t}) - F(\cdot, \bar{t})) \leq \limsup_{n \rightarrow \infty} \frac{\delta(\lambda(\bar{t})x_n, \lambda(t_n)x_n)}{\|x_n\|} + \\ + \liminf_{n \rightarrow \infty} \frac{\delta(\lambda(t_n)x_n, F(x_n, t_n))}{\|x_n\|} + \limsup_{n \rightarrow \infty} \frac{\delta(F(x_n, t_n), F(x_n, \bar{t}))}{\|x_n\|}.$$

Respectively from the continuity of the function λ and properties (d) and (b) we obtain $d(\lambda(\bar{t}) - F(\cdot, \bar{t})) = 0$, contradicting the property (c) of the s -homotopy. Consider now the homotopy

$$\tilde{H}(y, t) = y - \lambda^{-1}(t) \frac{F(ry, t)}{r}$$

where:

$$\tilde{H}: S_1 \times [0, 1] \rightarrow E \quad \text{and} \quad r \geq r_0.$$

It is easy to see that $\tilde{H}(y, t)$ is an admissible homotopy in the sense of Furi - Martelli [2].

Therefore, by our definition of the degree of surjectivity, we have

$$\deg(\lambda_0 - T_0) = \deg(\lambda_1 - T_1).$$

(ii) Let Ω be the component of $C \setminus \Sigma(T)$ which contains λ_1 and λ_2 . Since Ω is open there exists a path $s: [0, 1] \rightarrow \Omega \setminus \{0\}$ joining λ_1 and λ_2 . Consider the homotopy $H(x, t) = s(t)x - T(x)$ defined on $E \times [0, 1] \rightarrow E$.

This is an s -homotopy, hence from the property (i), we have the assertion.

(iii) It suffices to prove that for any $p \in E$, there exists $x \in E$ such that $p \in \lambda x - T(x)$, i.e., $T_p(x) = \lambda^{-1}(T(x) + p)$ has a fixed point. Consider the homotopy:

$$H(x, t) = \lambda x - (T(x) + tp)$$

defined on $E \times [0, 1] \rightarrow E$.

We have $d(\lambda - T - tp) = d(\lambda - T) > 0$ and so (c) is verified. Hence $H(x, t)$ is an s -homotopy, in fact the other conditions are easily verified.

Furthermore $H(x, 0) = \lambda x - T(x)$ and $H(x, 1) = \lambda x - T(x) - p$, hence $\deg(\lambda - T - p) = 1$. Then from the definition of the degree of surjectivity there exists $r_0 > 0$ such that the restriction of the map

$$y \rightarrow y - \frac{\lambda^{-1}(T(ry) + p)}{r} \quad (r \geq r_0)$$

to S_1 is essential. Therefore

$$0 \in y - \frac{\lambda^{-1}(T(ry) + p)}{r}$$

for some y such that $\|y\| < 1$, i.e. $p \in \lambda x - T(x)$, where $x = ry$.

(iv) We have for any $\lambda \in \mathbf{C} \setminus \{0\}$

$$\deg(\lambda - 0) = \chi(I)$$

where I is the identity restricted on S_1 , and so by the normalization property of χ we have the assertion. Q.E.D.

We are now in a position of proving the following

THEOREM 1. *Let $T: E \rightarrow E$ be a compact, quasibounded, acyclic-valued map satisfying property (A) and $\lambda \neq 0$. If λ belongs to the unbounded component of $\mathbf{C} \setminus \Sigma(T)$ then $\lambda - T$ is onto.*

PROOF. - Let $r > |T|$. Consider the homotopy

$$H(x, t) = rx - tT(x)$$

defined on $E \times [0, 1]$. This is an s -homotopy; in fact the conditions (a), (b) and (d) are easily verified.

Moreover we have

$$0 < r - t|T| \leq d(r - tT) \quad \text{for any } t \in [0, 1]$$

and so the condition (c) is also verified.

By (i) and (iv) of the above theorem we have

$$\deg(r - T) = \deg(r - 0) = 1.$$

Hence from (ii) and (iii) we get that $\lambda - T$ is onto for any $\lambda \neq 0$ belonging to the unbounded component of $\mathbf{C} \setminus \Sigma(T)$. Q.E.D.

REMARK 2. From the definition of the asymptotic spectrum of a multi-valued quasibounded map $T: E \multimap E$, we can easily prove that

$$\Sigma(T) = \bigcap_{f \in I} \Sigma(f)$$

where I is the set of all the non necessarily continuous selections of T and $\Sigma(f)$ is the asymptotic spectrum for a quasibounded map $f: E \rightarrow E$ introduced in [3]. If T satisfies property (A) we have

$$\Sigma(T) = \Sigma(f) \quad \text{whatever } f \in I.$$

In fact in this case, if $f, g \in I$, we have $|f - g| = 0$ and so $\Sigma(f) = \Sigma(g)$ (see [3]).

Let $T: E \multimap E$ be a multi-valued quasibounded map. We say that T is *asymptotically linear* if there exists a bounded linear (single-valued) map T'_∞ such that $T'_\infty \sim T$. This linear map will be called the *asymptotic derivative* of T . The asymptotic derivative of a multi-valued quasibounded map T is unique. In fact if there exist two linear maps S'_∞ and T'_∞ such that $T \sim T'_\infty$ and $T \sim S'_\infty$, then $T'_\infty \sim S'_\infty$. Since T'_∞ and S'_∞ are linear, we have $T'_\infty = S'_\infty$. It is easy to see that if T is asymptotically linear then T satisfies property (A). If T is compact then T'_∞ is compact. The proof of this fact is implicitly contained in [9].

We have the following

COROLLARY 1. *Let $T: E \multimap E$ be a compact, quasibounded, acyclic-valued map, and suppose that T is asymptotically linear. If the spectral radius $r(T'_\infty)$ is less than one, then $I - T$ is onto.*

The proof follows from Proposition 1 and Theorem 1.

REMARK 3. Since T'_∞ is linear, $\Sigma(T'_\infty)$ is just the usual approximate point spectrum $\sigma_a(T'_\infty)$ (see [4]), i.e.,

$$\sigma_a(T'_\infty) = \left\{ \lambda \in \mathbf{C} : \inf_{\|x\|=1} \|\lambda x - T'_\infty(x)\| = 0 \right\}.$$

In [4] an example of a continuous quasibounded map $T: E \rightarrow E$ with empty asymptotic spectrum is given. Moreover it is proved that $\Sigma(T) \neq \emptyset$ in the case where T is compact and $\dim E = +\infty$. The following theorem can be regarded as an extension of the above result.

THEOREM 2. *Let $T: E \multimap E$ be a compact, quasibounded, acyclic-valued map, satisfying property (A). Suppose $\dim E = +\infty$. Then $\Sigma(T) \neq \emptyset$.*

PROOF. Consider the sphere S_n . Put $A = \{n \in N: 0 \notin \overline{T(S_n)}\}$ and $B = N \setminus A$. Clearly either A or B is infinite. Assume first that A is infinite; then for any $n \in A$ there exists ε_n such that $B_{\varepsilon_n} \cap T(S_n) = \emptyset$. By Birkoff-Kellog theorem for multi-valued maps (see [7]), there exists $x_n \in S_n$ and $\lambda_n > 0$ such that $\lambda_n x_n \in T(x_n)$. From the fact that T is quasibounded it follows that $\{\lambda_n\}$ is bounded hence we may suppose, without loss of generality, that $\lambda_n \rightarrow \lambda$. We have

$$\frac{\delta(\lambda x_n, T(x_n))}{\|x_n\|} < |\lambda_n - \lambda| + \frac{\delta(\lambda_n x_n, T(x_n))}{\|x_n\|}.$$

Hence, by property (A), $\lambda \in \Sigma(T)$. Assume now that B is infinite. Then, there exists $x_n \in S_n$ such that

$$\mu_n = \inf \{\|z\|: z \in T(x_n)\} < 1.$$

We have

$$\frac{\delta(0, T(x_n))}{\|x_n\|} \leq \frac{\text{diam } T(x_n)}{\|x_n\|} + \frac{\mu_n}{\|x_n\|}.$$

Thus $0 \in \Sigma(T)$. Q.E.D.

Let $T: E \multimap E$ be as in Theorem 2 and $\dim E < +\infty$. Then if n is even $\Sigma(T)$ can be empty (see [4]). For n odd we have the following result

THEOREM 3. *Let $T: E \multimap E$ be a compact, quasibounded, acyclic-valued map, satisfying property (A). Suppose that E is a real Banach space and $\dim E$ is finite and odd. Then $\Sigma(T) \neq \emptyset$.*

PROOF. Consider the sphere S_n . Put $A = \{n \in N: 0 \notin \overline{T(S_n)}\}$ and $B = N \setminus A$. If B is infinite the same proof of Theorem 2, can be used to show that $0 \in \Sigma(T)$. Therefore we may assume that A is infinite. Clearly it suffices to prove that for any $n \in A$ there exist $\lambda_n \in \mathbf{R}$ and $x_n \in S_n$ such that $\lambda_n x_n \in T(x_n)$. For any $n \in A$ there exist r_n and R_n such that

$$T(x_n) \subset C_n = \{x \in E: r_n \leq \|x\| \leq R_n\}.$$

Consider the map $T_n \circ II: C_n \rightarrow C_n$, where T_n is the restriction of T to S_n and $II: C_n \rightarrow S_n$ is the radial retraction.

Let W be the graph of $T_n \circ II$ and let $r, s: W \rightarrow C_n$ be the projections defined by

$$r(x, y) = x, \quad s(x, y) = y.$$

The maps r and s are continuous, and the sets $r^{-1}(x)$ and $T_n \circ II(x)$ are homeomorphic. It follows that $r^{-1}(x)$ is acyclic for every $x \in C_n$. Hence by Vietoris mapping theorem, the homomorphism $r_k^*: H_k(W) \rightarrow H_k(C_n)$ induced by r is an isomorphism (see [6]).

If $x \in T_n \circ II(x)$ for some $x \in C_n$, then clearly $\lambda_n x_n \in T(x_n)$ for some $\lambda_n \in \mathbf{R}$ and $x_n \in S_n$.

Assume now that $x \notin T_n \circ II(x)$ for every $x \in C_n$.

Then r and s are homotopic (see [6]) and so the homomorphism $(T_n \circ II)_k^* = s_k^* r_k^{*-1}$ is the identity.

This implies that $A(T_n \circ II)$, the Lefschetz number of $T_n \circ II$ (in the sense of Eilenberg-Montgomery), equals the Euler-Poincaré characteristic of C_n . Since S_n is a homotopy retract of C_n it follows that $A(T_n \circ II) = 2$. By the Eilenberg-Montgomery theorem (see [1]) $T_n \circ II$ has a fixed point. Thus there exist $\lambda_n \in \mathbf{R}$ and $x_n \in S_n$ such that $\lambda_n x_n \in T(x_n)$. Q.E.D.

REMARK 4. The following example shows that if T does not satisfy property (A), then $\Sigma(T)$ can be empty, even in the case when $\dim E$ is odd. In fact the multivalued map $T: \mathbf{R} \rightarrow \mathbf{R}$ defined by

$$T(x) = \{y \in \mathbf{R} : -|x| < y < |x|\}$$

does not satisfy property (A) and its asymptotic spectrum is empty.

REFERENCES

- [1] S. EILENBERG - D. MONTGOMERY, *Fixed point theorems for multi-valued transformations*, Amer. J. Math., **58** (1946), pp. 214-222.
- [2] M. FURI - M. MARTELLI, *A degree for a class of acyclic-valued vector fields in Banach spaces*, Ann. Scuola Norm. Sup. Pisa, **1**, n. 3-4 (1974), pp. 301-310.
- [3] M. FURI - A. VIGNOLI, *A nonlinear spectral approach to surjectivity in Banach spaces*, J. Functional Analysis, **20** (1975), pp. 304-318.
- [4] K. GEORG - M. MARTELLI, *On spectrum for nonlinear operators*, J. Functional Analysis (to appear).

- [5] A. GRANAS, *On a class of nonlinear mappings in Banach spaces*, Bull. Acad. Pol. Sci. Cl. III, **5** (1957), pp. 867-870.
- [6] J. W. JAWOROWSKI, *Some consequences of the Vietoris mapping theorem*, Fund. Math., **45** (1958), pp. 261-272.
- [7] M. MARTELLI, *A Rothe's type theorem for noncompact acyclic-valued maps*, Boll. Un. Mat. Ital., (4) **11**, Suppl. fasc. 3 (1975), pp. 70-76.
- [8] M. MARTELLI - A. VIGNOLI, *Some surjectivity results for noncompact multi-valued maps*, Rend. Acc. Sci. Mat. Napoli, serie 4, **41** (1974), pp. 3-12.
- [9] M. MARTELLI - A. VIGNOLI, *On differentiability of multi-valued maps*, Boll. Un. Mat. Ital., (4) **10** (1974), pp. 701-712.

Monograf'' - Bologna - Via Collamarini 5

